



1                   **III. Attendance of Trial Counsel and Client/Client Representatives [LR 16-6(e)]**

2                   All counsel of record who will be participating in the trial of this case, all parties appearing  
3 *pro se*, if any, and all individual parties must be present at the ENE;

4                   Unless excused by order of the court, clients or client representatives with complete authority  
5 to negotiate and consummate a settlement shall be in attendance at the ENE. This requires the presence  
6 of the plaintiff.

7                   The defendant shall appear in person, but if the defendant is a corporate, governmental, or other  
8 organizational entity, an authorized representative of the client must be present who can accept a  
9 settlement offer and can authorize dismissal of the action if it is settled. Such a representative of the  
10 defendant must have final settlement authority to commit the defendant to pay, in the representative's  
11 own discretion, a settlement amount up to the plaintiff's last demand. If board approval of a  
12 governmental entity will be required to authorize settlement, the attendance of at least one sitting  
13 member of the board (preferably the chairperson) is absolutely required.

14                  Any insurance company which may be contractually required to defend or to pay damages, if  
15 any, assessed within its policy limits in this case must have a fully authorized settlement representative  
16 present. Such representative must have final settlement authority to commit the company to pay, in  
17 the attending representative's own discretion, an amount within the policy limits, or up to the  
18 Plaintiff's last demand. If trial counsel has been fully authorized by a party's insurer to commit the  
19 client to pay or to accept in settlement the amount last proposed by the opponent, in counsel's sole  
20 discretion, and without having to make further contact with the insurance company, the client, client  
21 representative, or insurance company representative, as applicable, need not attend. If so, counsel  
22 should advise the court of this authority in the party's ENE statement.

23                  **The purpose of the requirement of personal attendance is to have a representative present**  
24 **who has both the authority to exercise his or her own discretion, and the realistic freedom to**  
25 **exercise such discretion without the negative consequences, in order to settle the case during the**  
26 **ENE session without consulting someone else who is not present.** In the event counsel for any party  
27 is aware of any circumstance which might cast doubt on a client's or a client's representative/insurer's  
28 compliance with this paragraph, he/she shall immediately discuss the circumstance with opposing

1 counsel to attempt to resolve it well before the early neutral evaluation, and, if such discussion does  
2 not resolve it, request a telephone conference with the evaluating Magistrate Judge and counsel.

3 Counsel appearing for the early neutral evaluation without their client representatives or  
4 insurance company representatives, unless authorized as described above, may cause the (ENE)  
5 session to be canceled or rescheduled. If this occurs, the non-complying party, attorney or insurer may  
6 be assessed the costs and expenses, including attorneys fees, incurred by other parties and the court  
7 as a result of such cancellation, as well as any additional sanctions deemed appropriate by the court.  
8 Counsel are responsible for timely advising any involved non-party insurance company of the  
9 requirements of this order.

10 Any exceptions to the above attendance requirements must be submitted to this court for  
11 approval at least one week in advance of the ENE session.

12 **IV. Preparation for Early Neutral Evaluation Session [LR 16-6(f)]**

13 In preparation for the ENE session, the attorneys for each party shall submit a confidential  
14 written evaluation statement for the court's *in camera* review. The ENE statement shall be concise and  
15 shall:

- 16 (A) Identify by name or status the person with decision-making authority who, in addition  
17 to the attorney, will attend the ENE session as representative of the party, and persons  
18 connected with a party opponent (including an insurer representative) whose presence  
19 might substantially improve the utility of the early neutral evaluation session or the  
20 prospects of settlement;
- 21 (B) Briefly describe the substance of the suit, addressing the party's views on the key liability  
22 and damages issues;
- 23 (C) Address whether there are legal or factual issues early resolution of which could reduce  
24 significantly the scope of the dispute or contribute to settlement negotiations;
- 25 (D) Describe the history and status of settlement negotiations;
- 26 (E) Include copies of documents, pictures, recordings, etc. out of which the suit arose, or the  
27 availability of which would materially advance the purposes of the evaluation session  
28 (e.g., medical reports, documents by which special damages might be determined);

- 1 (F) Discuss the strongest and weakest points of your case, both factual and legal, including  
2 a candid evaluation of the merits of your case;
- 3 (G) Estimate the costs (including attorney's fees and costs) of taking this case through trial;
- 4 (H) Describe the history of any settlement discussions and detail the demands and offers that  
5 have been made and the reason settlement discussions have been unsuccessful; and
- 6 (I) Certify that the party has made initial disclosures under Fed. R. Civ. P. 26(a)(1) and that  
7 the plaintiff has provided a computation of damages to the defendant under Fed. R. Civ.  
8 P. 26(a)(1)(A)(iii).

9 Each evaluation statement will remain confidential unless a party gives the court permission  
10 to reveal some or all of the information in the statement before or during the session. The parties  
11 should consider, however, whether it would be beneficial to exchange non-confidential portions of  
12 their ENE statements. LR 16-6(f)(2).

13 The purpose of the ENE statement is to assist the court in preparing for and conducting the  
14 ENE session. The parties should be aware that LR 16-6 requires the evaluating magistrate judge,  
15 *inter alia*, to assess the relative strengths and weaknesses of the parties' contentions and to estimate,  
16 where feasible, the likelihood of liability and the potential range of damages. In order to facilitate a  
17 meaningful conference, your utmost candor in responding to the above-listed questions is required.  
18 The ENE statement will not be seen by the district judge or the magistrate judge assigned to this case.  
19 The confidentiality of each statement will be strictly maintained unless a party gives the court  
20 permission to reveal some or all of the information contained within the statement. Following the  
21 ENE session, the statements will be destroyed. LR 16-5; LR 16-6(h).

## 22 **VI. Submission of ENE Statements**

23 The written evaluation statements shall be received by the Clerk's Office Chambers, Suite 301,  
24 not later than **4:00 P.M., WEDNESDAY, MAY 22, 2019.**

25 Do not serve a copy on opposing counsel unless counsel have agreed to exchange non-  
26 confidential portions of their evaluation statement. Do not deliver or mail them to the Clerk's Office.

27 The ENE written evaluation statement should be delivered to my chambers in an envelope  
28 clearly marked "Confidential, contains ENE Evaluation Statement."

1 **VI. Binding Settlement**

2 If a settlement is achieved, the essential terms of the settlement will be placed on the record  
3 in either a sealed or unsealed format. The Court may retain jurisdiction of the case to implement the  
4 ENE agreement and to resolve any dispute, discrepancy or content of the terms of settlement. Barring  
5 unique circumstances, the Court will not retain jurisdiction after a dismissal of the action is entered.

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7 DATED: March 18, 2019.

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10 UNITED STATES MAGISTRATE JUDGE  
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